中華民國證券投資信託暨顧問商業同業公會 函

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受文者:

發文日期:中華民國107年10月30日 發文字號:中信顧字第1070052750號

速別:普通件

密等及解密條件或保密期限:

附件:如文

主旨:函轉金融監督管理委員會檢送有關美國財政部107年10月 17日公告新增1個實體於制裁名單(SDN list)一案,如 發現疑似洗錢或資恐交易,請向法務部調查局申報,並 注意該等交易風險,請 查照。

說明:依金融監督管理委員會107年10月29日金管證券字第 1070339740號函辦理。

正本:本公會各投信會員公司、本公會各投顧會員公司

副本:

訂

理事長另樣

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檔 號:

保存年限:

金融監督管理委員會 函

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受文者:中華民國證券投資信託暨顧問商業同業公會

發文日期:中華民國107年10月29日 發文字號:金管證券字第1070339740號

速別:普通件

密等及解密條件或保密期限:

附件:如說明二(第一件 A45020000DORGUNIT107102903397400A1B339740.PDF)

主旨:有關美國財政部107年10月17日公告新增1個實體於制裁 名單(SDN list)一案,請轉知所屬會員,如發現疑似洗 錢或資恐交易,請向法務部調查局申報,並注意該等交 易風險,請查照。

說明:

- 一、依據駐美國代表處經濟組107年10月17日經美字第 1070001272號函副本辦理。
- 二、檢附上函暨附件影本各一份。

正本:中華民國證券商業同業公會、中華民國證券投資信託暨顧問商業同業公會、中華民國期貨業商業同業公會、中華民國會計師公會全國聯合會

授權單位主管決行並鈐印

文號:1070052750

檔 號: 保存年限:

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受文者:金融監督管理委員會

發文日期:中華民國107年10月17日 發文字號:經美字第1070001272號

速別:普通件

密等及解密條件或保密期限:

附件:如文(1070001272_Attach1.docx、1070001272_Attach2.pdf)

主旨:陳報美國財政部公告新增1個實體於制裁名單(SDN list)

事,敬請查照。

說明:

一、美財政部於本(10)月17日發布新聞稿略以,該部外國資產 管制局(OFAC)以協助並提供金融服務予ISIS恐怖組織為由 ,將AFAQ Dubai Exchange Company納入制裁清單,凍結 渠於美國境內之資產與交易

二、檢附上述財政部新聞稿如附件,併請卓參。

正本:經濟部國際貿易局

副本:金融監督管理委員會電の銀/1的19家

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PRESS RELEASES

Treasury Targets Iraq-based Money Services Business Supporting ISIS

October 17, 2018

Washington – The U.S. Department of the Treasury's Office of Foreign Assets Control (OFAC) imposed sanctions today on Afaq Dubai, an Iraq-based money services business (MSB) that has been moving money for the Islamic State of Iraq and Syria (ISIS). Today's designation follows action taken by the Department of Defense—announced on October 11—against a key ISIS financial facilitation group. This MSB is a part of ISIS's financial network that includes an array of other MSBs, hawalas, and financial facilitators in the Middle East. OFAC named Afaq Dubai as a Specially Designated Global Terrorist pursuant to Executive Order 13224, for assisting in, sponsoring, or providing financial, material, or technological support for, or financial or other services to or in support of, ISIS. Contrary to what the name may imply, this MSB is located in Iraq and does not have any branches in the United Arab Emirates.

"This Iraq-based MSB is a part of ISIS's complex network of money services businesses, hawalas, and financial facilitators funding terrorism across the Middle East. We are targeting this network in concert with the Department of Defense as part of this Administration's ongoing campaign to cut off ISIS's ability to launder money and move illicit funds," said Sigal Mandelker, Treasury Under Secretary for Terrorism and Financial Intelligence. "Even as ISIS's hold on territory is eliminated, we will continue to search for and shut down the illicit financial networks ISIS utilizes to fund terror attacks and sustain operations."

Today's action is a continuation of Treasury's ongoing efforts to shut down financial facilitators and MSBs worldwide that move money on behalf of ISIS. It follows the designation of two ISIS financial facilitators in September with ties to the Caribbean and the Middle East. In December 2016, OFAC designated Selselat al Thahab Money Exchange in Iraq, ISIS financier Fawaz Muhammad Jubayr al-Rawi, and his company, the Hanifa Currency Exchange in Albu Kamal, Syria. Prior to his death, al-Rawi used the Hanifa Currency Exchange in Albu Kamal, Syria and a global network of financiers to move millions of dollars on behalf of the terrorist group.





OFAC closely coordinated today's designation with the Combined Joint Task Force-Operation Inherent Resolve (CJTF-OIR), which released details on October 11 regarding its joint action against members of a key ISIS financial facilitation group, who leveraged this MSB as part of its operation. Coordinated actions such as those conducted by DoD and Treasury this week disrupt and curtail ISIS's logistical infrastructure, recruiting, and revenue generation.

As a result of today's action, all property and interests in property of Afaq Dubai subject to U.S. jurisdiction are blocked and U.S. persons are generally prohibited from engaging in transactions with them.

DESIGNATED TARGET

Afaq Dubai

Afaq Dubai was designated for assisting in, sponsoring, or providing financial, material, or technological support for, or financial or other services to or in support of ISIS.

Afaq Dubai — which is located in Iraq — is part of a network of ISIS-associated money services businesses and financial facilitators in the Middle East. It is run by two ISIS financiers, and, as of early 2018, laundered money for ISIS and provided money to ISIS families.

In May 2018, a Jordan-based ISIS financial facilitator deposited \$3 million from Iraqi dinar into three exchanges, including Afaq Dubai.

Identifying information on the entity designated today.





Counter Terrorism Designation

2018/10/17

U.S. DEPARTMENT OF THE TREASURY

Resource Center

Counter Terrorism Designation

10/17/2018

OFFICE OF FOREIGN ASSETS CONTROL

Specially Designated Nationals List Update

The following entity has been added to OFAC's SDN List:

AFAQ DUBAI (a.k.a. AFAK DUBAI EXCHANGE COMPANY; a.k.a. AFAQ DUBAI COMPANY; a.k.a. AFAQ DUBAI HAWALAH; f.k.a. "ASTU"), Iraq; Email Address me.iraq17@yahoo.com [SDGT] (Linked To: ISLAMIC STATE OF IRAQ AND THE LEVANT).







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