

中華民國證券投資信託暨顧問商業同業公會 函

地址：10459 台北市中山區長春路145號3樓

承辦人：陳曉薇

電話：(02)2581-7288#209

傳真：(02)2581-7388

電子信箱：vivi.chen@sitca.org.tw

(郵遞區號)

(地址)

受文者：

發文日期：中華民國109年4月23日

發文字號：中信顧字第1090050878號

速別：普通件

密等及解密條件或保密期限：

附件：如文

主旨：函轉金融監督管理委員會檢送有關「美國國務院109年1月21日公告與伊朗有關之禁止轉讓金屬清單」及「美國財政部109年2月20日新增與伊朗有關之制裁名單」各一份，請 查照。

說明：依據金融監督管理委員會109年4月22日金管證券字第1090340014號函辦理。

正本：本公會各投信會員公司、本公會各投顧會員公司

副本：

理事長 張 錫

檔 號：

保存年限：

金融監督管理委員會 函

機關地址：22041新北市板橋區縣民大道2
段7號18樓

承辦人：鄭先生

電話：02-27747266

受文者：中華民國證券投資信託暨顧問商業同業公會（代表人
張錫先生）

發文日期：中華民國109年4月22日

發文字號：金管證券字第1090340014號

速別：普通件

密等及解密條件或保密期限：

附件：如文(附件1 109UJ02615_1_22111022090.pdf)

主旨：檢送美國國務院109年1月21日公告與伊朗有關之禁止轉
讓金屬清單及美國財政部109年2月20日新增與伊朗有關
之制裁名單各一份，請依說明二辦理，請查照。

說明：

一、依美國2012伊朗自由及反武器擴散法（Iran Freedom and
Counter-Proliferation Act of 2012, IFCA）第1245節，對於
銷售、供給、移轉特定金屬及其半成品予伊朗或自伊朗
銷售、供給、移轉該等金屬，且符合下列條件之一者，
予以制裁：

(一)用於有關伊朗能源、船運或造船產業，或任何直接或
間接受伊斯蘭革命衛隊（Islamic Revolutionary Guard
Corps, IRGC）控制之經濟產業，如伊朗建築業。

(二)銷售、供給、移轉予美國財政部OFAC指定制裁名單
（SDN List）上之伊朗制裁對象（實體或個人）或轉讓
自該等對象。

(三)用於有關伊朗核能、軍事、彈道導彈計畫。

二、本案請轉知所屬會員，如發現疑似洗錢或資恐交易，請
向法務部調查局申報及注意該等交易之風險，併請副本

中華民國證券投資信託暨顧問商業同業公會（代表

109UJC:2100\SSO\OFFLINEDATA\P44\932560\1090050878-00-99\0001-1.pdf



1090050878-01-01

收文者配合辦理。

正本：中華民國證券商業同業公會（代表人賀鳴珩先生）、中華民國期貨業商業同業公會（代表人糜以雍先生）、中華民國證券投資信託暨顧問商業同業公會（代表人張錫先生）、中華民國會計師公會全國聯合會（代表人黃奕睿先生）

副本：臺灣證券交易所股份有限公司（代表人許璋瑤先生）、財團法人中華民國證券櫃檯買賣中心（代表人陳永誠先生）、臺灣期貨交易所股份有限公司（代表人黃炳鈞先生）、臺灣集中保管結算所股份有限公司（代表人林修銘先生）、元大證券金融股份有限公司（代表人黃廷賢先生）(均含附件)

109/04/22
11:20:40

裝

訂

線

LEGAL STATUS

This site displays a prototype of a "Web 2.0" version of the daily Federal Register. It is not an official legal edition of the Federal Register, and does not replace the official print version or the official electronic version on GPO's govinfo.gov.

The documents posted on this site are XML renditions of published Federal Register documents. Each document posted on the site includes a link to the corresponding official PDF file on govinfo.gov. This prototype edition of the daily Federal Register on FederalRegister.gov will remain an unofficial informational resource until the Administrative Committee of the Federal Register (ACFR) issues a regulation granting it official legal status. For complete information about, and access to, our official publications and services, go to About the Federal Register on NARA's archives.gov.

The OFR/GPO partnership is committed to presenting accurate and reliable regulatory information on FederalRegister.gov with the objective of establishing the XML-based Federal Register as an ACFR-sanctioned publication in the future. While every effort has been made to ensure that the material on FederalRegister.gov is accurately displayed, consistent with the official SGML-based PDF version on govinfo.gov, those relying on it for legal research should verify their results against an official edition of the Federal Register. Until the ACFR grants it official status, the XML rendition of the daily Federal Register on FederalRegister.gov does not provide legal notice to the public or judicial notice to the courts.

LEGAL STATUS

Raw or Semi-Finished Metals Covered Under IFCA 1245(d)

A Notice by the State Department on 01/21/2020

DOCUMENT DETAILS

Printed version:

PDF (<https://www.govinfo.gov/content/pkg/FR-2020-01-21/pdf/2020-00816.pdf>)

Publication Date:

01/21/2020 (/documents/2020/01/21)

Agency:

Department of State (<https://www.federalregister.gov/agencies/state-department>)

Dates:

The Secretary of State approved this action January 9, 2020.

Document Type:

Notice

Document Citation:

85 FR 3467

Page:

3467-3468 (2 pages)

Agency/Docket Number:

Public Notice 11005

Document Number:

2020-00816

DOCUMENT DETAILS

DOCUMENT STATISTICS

Page views:

328

as of 04/08/2020 at 2:15 am EDT

DOCUMENT STATISTICS

PUBLISHED DOCUMENT

ACTION:

109UJ02615_1_22111022090.pdf

第3頁，共6頁

Notice of reports.

SUMMARY:

The Iran Freedom and Counter-Proliferation Act (IFCA) of 2012 Section 1245(d) describes “graphite, raw or semi-finished metals such as aluminum and steel, coal, and software for integrating industrial processes.”

The Department of State is providing notice of a list of materials that constitute “raw or semi-finished metals” under IFCA 1245(d) for the purpose of implementing provisions of IFCA delegated to the Secretary of State, including Sections 1245(a)(1)(B), 1245(a)(1)(C), and 1245(e).

DATES:

The Secretary of State approved this action January 9, 2020.

FOR FURTHER INFORMATION CONTACT:

Alexander Stolar, Office of

Start Printed Page 3468

Counterproliferation Initiatives, Bureau of International Security and Nonproliferation, Department of State,
Telephone: (202)-647-5035

SUPPLEMENTARY INFORMATION:

For the purpose of implementing the provisions of IFCA delegated to the Secretary of State, including Sections 1245(a)(1)(B), 1245(a)(1)(C), and 1245(e), “raw or semi-finished metals” under IFCA 1245(d) includes, but is not limited to, the following materials (including all types of such materials and all alloys or compounds containing such materials): Aluminum, Americium, Antimony, Barium, Beryllium, Bismuth, Boron, Cadmium, Calcium, Cerium, Cesium, Chromium, Cobalt, Copper, Dysprosium, Erbium, Europium, Gallium, Gadolinium, Germanium, Gold, Hafnium, Hastelloy, Inconel, Indium, Iridium, Iron, Lanthanum, Lithium, Lead, Lutetium, Manganese, Magnesium, Mercury, Molybdenum, Monel, Neodymium, Neptunium, Nickel, Niobium, Osmium, Palladium, Platinum, Plutonium, Polonium, Potassium, Praseodymium, Promethium, Radium, Rhenium, Rhodium, Ruthenium, Samarium, Scandium, Silicon, Silver, Sodium, Steels, Strontium, Tantalum, Technetium, Tellurium, Terbium, Thallium, Thorium, Tin, Titanium, Tungsten, Uranium, Vanadium, Ytterbium, Yttrium, Zinc, and Zirconium.

Gonzalo O. Suarez,

Acting Deputy Assistant Secretary, International Security and Non-Proliferation, Department of State.

[FR Doc. 2020-00816 (/a/2020-00816) Filed 1-17-20; 8:45 am]

BILLING CODE 4710-27-P

PUBLISHED DOCUMENT

U.S. DEPARTMENT OF THE TREASURY

Resource Center

Iran-related Designations

2/20/2020

OFFICE OF FOREIGN ASSETS CONTROL

Specially Designated Nationals List Update

The following individuals have been added to OFAC's SDN List:

ANNATI, Ahmad, Iran; DOB 22 Feb 1927; POB Ladan, Isfahan, Iran; nationality Iran; Additional Sanctions Information - Subject to Secondary Sanctions; Gender Male (individual) [IRAN-EO13876].

KADKHODAEI, Abbas Ali (a.k.a. KADKHODAEI, Abbas Ali; a.k.a. KADKHODAEI ELYADERANI, Abbas Ali; a.k.a. KADKHODAEI, Abbasali; a.k.a. KADKHODAI, Abbas Ali; a.k.a. KADKHODA'I, Abbas Ali), Iran; DOB 1961; alt. DOB 1962; POB Isfahan, Iran; nationality Iran; Additional Sanctions Information - Subject to Secondary Sanctions; Gender Male (individual) [IRAN-EO13876].

RAHPEYK, Siamak (a.k.a. RAHPEYK, Siyamak; a.k.a. RAH-PEYK, Siyamak; a.k.a. RAHPIEK, Siamak), Iran; DOB 1963; alt. DOB 1964; POB Tehran, Iran; nationality Iran; Additional Sanctions Information - Subject to Secondary Sanctions; Gender Male (individual) [IRAN-EO13876].

SADEGHI MOGHADAM, Mohammad Hasan (a.k.a. SADEGHI MOGHADAM, Mohammad Hassan; a.k.a. SADEGHI MOGHADDAM, Mohammad Hasan; a.k.a. SADEGHI MOHAMMAD, Mohammad; a.k.a. SADEQI-MOQADAM, Mohammad Hassan; a.k.a. SADEQI-MOQADDAM, Mohammad Hasan), Iran; DOB 1958; alt. DOB 1959; POB Jahrom, Iran; nationality Iran; Additional Sanctions Information - Subject to Secondary Sanctions; Gender Male (individual) [IRAN-EO13876].

YAZDI, Mohammad, Iran; DOB 02 Jul 1931; alt. DOB 1931; alt. DOB 1932; POB Isfahan, Iran; nationality Iran; Additional Sanctions Information - Subject to Secondary Sanctions; Gender Male (individual) [IRAN-EO13876].

