

## 中華民國證券投資信託暨顧問商業同業公會 函

地址：10459 台北市中山區長春路145號3樓

承辦人：陳曉薇

電話：(02)2581-7288#209

傳真：(02)2581-7388

電子信箱：vivi.chen@sitca.org.tw

(郵遞區號)

(地址)

受文者：

發文日期：中華民國109年9月26日

發文字號：中信顧字第1090052223號

速別：普通件

密等及解密條件或保密期限：

附件：如文

主旨：函轉金融監督管理委員會檢送有關法務部調查局函知

「聯合國安全理事會第1267/1989/2253號制裁委員會制裁名單更新訊息」一份，請 查照。

說明：依據金融監督管理委員會109年9月17日金管證券字第1090364489號函辦理。

正本：本公會各投信會員公司、本公會各投顧會員公司

副本：

理事長 張 錫

檔 號：

保存年限：

## 金融監督管理委員會 函

機關地址：22041新北市板橋區縣民大道2  
段7號18樓

承辦人：鄭先生

電話：02-27747266

受文者：中華民國證券投資信託暨顧問商業同業公會（代表人  
張錫先生）

發文日期：中華民國109年9月17日

發文字號：金管證券字第1090364489號

速別：普通件

密等及解密條件或保密期限：

附件：如文(附件1 109UJ06257\_1\_17174125506.pdf)

主旨：有關法務部調查局函知「聯合國安全理事會第1267/1989/  
2253號制裁委員會制裁名單更新訊息」一案，請依說明  
三辦理，請查照。

說明：

- 一、依據法務部調查局109年9月14日調錢貳字第10935553920  
號函副本辦理。
- 二、依法務部調查局陳報法務部並副知相關部會，內容略  
以：聯合國安全理事會第1267/1989/2253號制裁委員會  
（伊斯蘭國與基地組織制裁委員會）於109年9月10日公  
布制裁名單更新通告，修正制裁對象MOUSSA BEN  
OMAR BEN ALI ESSAADI（永久參考號：QDi.096）等11  
個人或團體基本識別資料及相關訊息。
- 三、旨揭制裁名單更新訊息，已由法務部調查局陳報法務  
部。本案請轉知所屬會員依下列說明辦理，併請副本收  
文者配合辦理：
  - （一）經我國依資恐防制法第5條第1項公告指定之制裁名  
單，須依資恐防制法第7條第1項規定辦理。
  - （二）因業務關係知悉其本身持有或管理經指定制裁之個

中華民國證券投資信託暨顧問商業同業公會（代表

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人、法人或團體之財物或財產上利益，或經指定制裁之個人、法人或團體之財物或財產上利益之所在地者，請依資恐防制法第7條第3項規定，應即通報法務部調查局。

(三)洗錢防制法第5條第1項至第3項所定之金融機構及指定之非金融事業或人員，因業務關係知悉相關交易，亦應依洗錢防制法第10條之規定向法務部調查局申報。

四、檢附法務部調查局109年9月14日調錢貳字第10935553920號函影本暨附件各一份。

正本：中華民國證券商業同業公會（代表人賀鳴珩先生）、中華民國期貨業商業同業公會（代表人廩以雍先生）、中華民國證券投資信託暨顧問商業同業公會（代表人張錫先生）、中華民國會計師公會全國聯合會（代表人黃奕睿先生）

副本：臺灣證券交易所股份有限公司（代表人許璋瑤先生）、財團法人中華民國證券櫃檯買賣中心（代表人陳永誠先生）、臺灣期貨交易所股份有限公司（代表人吳自心先生）、臺灣集中保管結算所股份有限公司（代表人林修銘先生）、元大證券金融股份有限公司（代表人黃廷賢先生）

109/09/18  
09:32:15

檔 號：

保存年限：

## 法務部調查局 函

地址：23149新北市新店區中華路74號

承辦人：高調查官

電話：02-29112241-6224

傳真：02-29131280

受文者：金融監督管理委員會

發文日期：中華民國109年9月14日

發文字號：調錢貳字第10935553920號

速別：普通件

密等及解密條件或保密期限：

附件：如說明(A11010000F\_10935553920A0C\_ATTCH7.pdf、A11010000F\_10935553920A0C\_ATTCH8.pdf)

主旨：陳報聯合國安全理事會第1267/1989/2253號制裁委員會制裁名單更新訊息，請鑒核。

說明：

- 一、依據資恐防制法第5條第1項規定辦理。
- 二、聯合國安全理事會資恐相關第1267/1989/2253號制裁委員會（伊斯蘭國與基地組織制裁委員會）於109年9月10日公布制裁名單更新通告，修正制裁對象MOUSSA BEN OMAR BEN ALI ESSAADI（永久參考號：QDi.096）等11個人或團體基本識別資料及相關訊息（詳如附件1）。

三、檢陳資料：

（一）附件1：聯合國安全理事會第SC14299號公告新聞稿內容（公告新聞稿連結：<https://www.un.org/press/en/2020/sc14299.doc.htm>）。

（二）附件2：聯合國安全理事會第1267/1989/2253號制裁委員會更新制裁名單（以永久參考號排序）。

正本：法務部

副本：司法院民事廳(含附件)、金融監督管理委員會(含附件)、中央銀行(含附件)、內

政部地政司(含附件)、經濟部商業司(含附件)、財政部賦稅署(含附件)、行政院  
農業委員會農業金融局(含附件)、中華郵政股份有限公司(含附件)

2020/09/15  
11:32:53

局長 呂文忠

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United Nations

## SECURITY COUNCIL PRESS RELEASE

SC/14299

10 SEPTEMBER 2020

### Security Council ISIL (Da'esh) and Al-Qaida Sanctions Committee Amends Eleven Entries on Its Sanctions List

On 10 September 2020, the Security Council Committee pursuant to resolutions 1267 (1999), 1989 (2011) and 2253 (2015) concerning ISIL (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities enacted the amendments specified with underline and strikethrough in the entries below on its ISIL (Da'esh) and Al-Qaida Sanctions List of individuals and entities subject to the assets freeze, travel ban and arms embargo set out in paragraph 1 of Security Council resolution 2368 (2017), and adopted under Chapter VII of the Charter of the United Nations.

#### A. Individuals

**QDi.096 Name:** 1: MOUSSA 2: BEN OMAR 3: BEN ALI 4: ESSAADI

**Name (original script):** موسى بن عمر بن علي السعدي

**Title:** na **Designation:** na **DOB:** 4 Dec. 1964 **POB:** Tabarka, Tunisia **Good quality**

**a.k.a.:** na **Low quality a.k.a.:** a) Dah Dah b) Abdelrahmman c) Bechir **Nationality:** Tunisia

**Passport no:** Tunisia number L335915, issued on 8 Nov. 1996, issued in Milan, Italy (expired on 7 Nov. 2001) **National identification no:** na **Address:** Tunisia **Listed on:** 25 Jun. 2003 (amended on 20 Dec. 2005, 17 Oct. 2007, 10 Aug. 2009, 16 May 2011, 20 Jul. 2012, 6 Dec. 2019, 10 Sep. 2020) **Other information:** Considered a fugitive from justice by the Italian authorities (as of ~~Nov. 2009~~ Oct. 2019). Left Sudan to Tunisia in 2011. Review pursuant to Security Council resolution 1822 (2008) was concluded on 15 Jun. 2010. Review pursuant to Security Council resolution 2368 (2017) was concluded on 4 Dec. 2019. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>.

**QDi.099 Name:** 1: KHALIL 2: BEN AHMED 3: BEN MOHAMED 4: JARRAYA

**Name (original script):** خليل بن احمد بن محمد جرایة

**Title:** na **Designation:** na **DOB:** 8 Feb. 1969 **POB:** Sfax, Tunisia **Good quality a.k.a.:** a) Khalil Yarraya b) Ben Narvan Abdel Aziz born 15 Aug. 1970 in Sereka (former Yugoslavia) c) Abdel Aziz Ben Narvan born 15 Aug. 1970 in Sereka (former Yugoslavia) **Low quality a.k.a.:** a) Amro b) Omar c) Amrou d) Amr **Nationality:** Tunisia **Passport no:** Tunisian number K989895, issued on 26 Jul. 1995, issued in Genoa, Italy (expired on 25 Jul. 2000) **National identification no:** na

**Address:** Nuoro, Italy **Listed on:** 25 Jun. 2003 (amended on 26 Nov. 2004, 20 Dec. 2005, 17 Oct. 2007, 16 Sep. 2008, 24 Mar. 2009, 10 Aug. 2009, 6 Aug. 2010, 6 Dec. 2019, 10 Sep. 2020) **Other information:** Detained in Italy since 9 Aug. 2008 for his implication in a case related to terrorism. Deported from Italy to Tunisia on 24 Feb. 2015. Review pursuant to Security Council resolution 1822 (2008) was concluded on 15 Jun. 2010. Review pursuant to Security Council resolution 2368 (2017) was concluded on 4 Dec. 2019. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>.

**QDi.138 Name:** 1: SAID 2: BEN ABDELHAKIM 3: BEN OMAR 4: AL-CHERIF

**Name (original script):** سعيد بن عبد الحكيم بن عمر الشريف

**Title:** na **Designation:** na **DOB:** 25 Jan. 1970 **POB:** Manzil Tmim, Tunisia **Good quality**

**a.k.a.:** a) Cherif Said born 25 Jan. 1970 in Tunisia b) Binhamoda Hokri born 25 Jan. 1970 in Sosa, Tunisia c) Herif Ataf born 25 Jan. 1971 in Solisse, Tunisia d) Bin Homoda Chokri born 25 Jan. 1970 in Tunis, Tunisia e) Atef Cherif born 12 Dec. 1973 in Algeria f) Sherif Ataf born 12 Dec. 1973 in Aras, Algeria g) Ataf Cherif Said born 12 Dec. 1973 in Tunis, Tunisia h) Cherif Said born 25 Jan. 1970 in Tunis, Tunisia i) Cherif Said born 12 Dec. 1973 in Algeria **Low quality** **a.k.a.:** a) Djallal b) Youcef c) Abou Salman d) Said Tmimi **Nationality:** Tunisia **Passport**

**no:** Tunisia number M307968, issued on 8 Sep. 2001 (expired on 7 Sep. 2006) **National identification no:** na **Address:** Corso Lodi 59, Milan, Italy **Listed on:** 12 Nov. 2003 (amended on 20 Dec. 2005, 21 Dec. 2007, 30 Jan. 2009, 16 May 2011, 6 Dec. 2019, 10 Sep. 2020) **Other information:** Mother's name is Radhiyah Makki. Sentenced to eight years and ten months of imprisonment for membership of a terrorist association by the Appeal Court of Milan, Italy, on 7 Feb. 2008. Sentence confirmed by the Italian Supreme Court on 15 Jan. 2009, which became definitive as of Feb. 2008. Subject to expulsion from Italy to Tunisia after serving the sentence. Deported from Italy to Tunisia on 27 Nov. 2013. Review pursuant to Security Council resolution 1822 (2008) was concluded on 6 May 2010. Review pursuant to Security Council resolution 2368 (2017) was concluded on 4 Dec. 2019 INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>.

**QDi.139 Name:** 1: IMED 2: BEN MEKKI 3: ZARKAOUI 4: na

**Name (original script):** عماد بن مكي زرقاوي

**Title:** na **Designation:** na **DOB:** 15 Jan. 1973 **POB:** Tunis, Tunisia **Good quality** **a.k.a.:** a) Dour Nadre born 15 Jan. 1974 in Morocco b) Dour Nadre born 15 Jan. 1973 in Morocco c) Daour Nadre born 31 Mar. 1975 in Algeria d) Imad ben al-Mekki ben al-Akhdar al-Zarkaoui (previously listed as) **Low quality** **a.k.a.:** a) Zarga b) Nadra **Nationality:** Tunisia **Passport no:** Tunisia number M174950, issued on 27 Apr. 1999 (expired on 26 Apr. 2004) **National identification no:** na **Address:** 41-45 Rue Estienne d'Orves, Pré Saint Gervais, France **Listed on:** 12 Nov. 2003 (amended on 20 Dec. 2005, 31 Jul. 2006, 10 Aug. 2009, 16 May 2011, 6 Dec. 2019, 10 Sep. 2020) **Other information:** Mother's name is Zina al-Zarkaoui. Imprisoned in France since 4 Feb. 2010 on charges of criminal conspiracy in relation to a terrorist organization. Sentenced to seven years and one month of imprisonment by the Court of Appeals of Milan in Italy. Released on 31 Mar.

2014 on early release. Review pursuant to Security Council resolution 1822 (2008) was concluded on 6 May 2010. Review pursuant to Security Council resolution 2368 (2017) was concluded on 4 Dec. 2019 INTERPOL-UN Security Council Special Notice web link:

<https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>.

**QDi.140 Name:** 1: KAMAL 2: BEN MAOELDI 3: BEN HASSAN 4: AL-HAMRAOUI

**Name (original script):** كمال بن المولدي بن حسن الحمراوي

**Title:** na **Designation:** na **DOB:** 21 Oct. 1977 **POB:** Beja, Tunisia **Good quality**

**a.k.a.:** a) Hamroui Kamel ben Mouldi b) Hamraoui Kamel born 21 Nov. 1977 in Morocco

c) Hamraoui Kamel born 21 Nov. 1977 in Tunisia d) Hamraoui Kamel born 21 Oct. 1977 in

Tunisia **Low quality a.k.a.:** a) Kamel b) Kimo **Nationality:** Tunisia **Passport no:** Tunisian

number P229856, issued on 1 Nov. 2002 (expires on 31 Oct. 2007) **National identification**

**no:** na **Address:** a) Via Bertesi Number 27, Cremona, Italy b) Via Plebiscito Number 3, Cremona,

Italy **Listed on:** 12 Nov. 2003 (amended on 20 Dec. 2005, 31 Jul. 2006, 21 Dec. 2007, 16 May

2011, 6 Dec. 2019, 10 Sep. 2020) **Other information:** Mother's name is Khamisah al-Kathiri.

Subject to a decree of expulsion, suspended on 17 Apr. 2007 by the European Court of Human

Rights. Re-arrested in Italy on 20 May 2008. Deported from Italy to Tunisia on 6 May 2015.

Admissible to the Schengen area. Review pursuant to Security Council resolution 1822 (2008)

was concluded on 6 May 2010. Review pursuant to Security Council resolution 2368 (2017) was

concluded on 4 Dec. 2019 INTERPOL-UN Security Council Special Notice web link:

<https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>.

**QDi.143 Name:** 1: HAMADI 2: BEN ABDUL AZIZ 3: BEN ALI 4: BOUYEHIA

**Name (original script):** حمادي بن عبد العزيز بن علي بويحي

**Title:** na **Designation:** na **DOB:** 29 May 1966 **POB:** Tunis, Tunisia **Good quality**

**a.k.a.:** a) Gamel Mohamed born 25 May 1966 in Morocco b) Abd el Wanis Abd Gawwad Abd el

Latif Bahaa born 9 May 1986 in Egypt c) Mahmoud Hamid **Low quality a.k.a.:** na

**Nationality:** Tunisia **Passport no:** (Tunisian passport number L723315, issued on 5 May 1998,

expired on 4 May 2003) **National identification no:** na **Address:** Corso XXII Marzo Number 39,

Milan, Italy **Listed on:** 12 Nov. 2003 (amended on 20 Dec. 2005, 31 Jul. 2006, 30 Jan. 2009, 16

May 2011, 6 Dec. 2019, 10 Sep. 2020) **Other information:** In prison in Italy until 28 Jul. 2011 6

Feb. 2026. Review pursuant to Security Council resolution 1822 (2008) was concluded on 21 Jun.

2010. Review pursuant to Security Council resolution 2368 (2017) was concluded on 4 Dec. 2019

INTERPOL-UN Security Council Special Notice web link: [https://www.interpol.int/en/How-we-](https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals)

[work/Notices/View-UN-Notices-Individuals](https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals).

**QDi.149 Name:** 1: NOUREDDINE 2: BEN ALI 3: BEN BELKASSEM 4: AL-DRISSI

**Name (original script):** نور الدين بن علي بن بلقاسم الدريسي

**Title:** na **Designation:** na **DOB:** 30 Apr. 1964 **POB:** Tunis, Tunisia **Good quality a.k.a.:** Drissi

Nouredine **Low quality a.k.a.:** a) Abou Ali b) Faycal **Nationality:** Tunisia **Passport**

**no:** Tunisian number L851940, issued on 9 Sep. 1998 (expired on 8 Sep. 2003) **National**

**identification no:** na **Address:** Via Plebiscito 3, Cremona, Italy **Listed on:** 12 Nov. 2003

(amended on 20 Dec. 2005, 31 Jul. 2006, 21 Dec. 2007, 16 May 2011, 6 Dec. 2019, 10



Sep.2020) **Other information:** ~~Under administrative control measure in Italy until 5 May 2010.~~  
Sentenced to six years of imprisonment for international terrorism in 2008. Deported from Italy to  
Tunisia on 10 Feb. 2013. Inadmissible to the Schengen area. Mother's name is Khadijah al-Drissi.  
Review pursuant to Security Council resolution 1822 (2008) was concluded on 22 Apr. 2010.  
Review pursuant to Security Council resolution 2368 (2017) was concluded on 4 Dec. 2019  
INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>.

**QDi.330 Name:** 1: AZZAM 2: ABDULLAH 3: ZUREIK 4: AL-MAULID AL-SUBHI  
**Title:** na **Designation:** na **DOB:** 12 Apr. 1976 **POB:** Al Baraka, Saudi Arabia **Good quality**  
**a.k.a.:** a) Mansur al-Harbi b) Azzam al-Subhi c) Azam Abdallah Razeeq al Mouled Alsbhua  
d) Abu Muslem al-Maky e) Abu Suliman al-Harbi f) Abu Abdalla al-Harbi g) Azam A.R.  
Alsbhua **Low quality a.k.a.:** na **Nationality:** Saudi Arabia **Passport no:** Saudi Arabia number  
C389664, issued on 15 Sep. 2000 **National identification no:** ~~na~~ 1024026187 **Address:** na  
**Listed on:** 23 Sep. 2014 (amended on 6 Dec. 2019, 10 Sep. 2020) **Other information:** Has ties  
to numerous senior Al-Qaida (QDe.004) leaders. Wanted by the Saudi Arabian Government for  
terrorism. Father's name is Abdullah Razeeq al Mouled al Sbhua. Physical description: eye  
colour: dark; hair colour: dark; complexion: dark. Speaks Arabic. Photo available for inclusion in  
the INTERPOL-UN Security Council Special Notice. Review pursuant to Security Council  
resolution 2368 (2017) was concluded on 4 Dec. 2019. INTERPOL-UN Security Council Special  
Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>.

**QDi.332 Name:** 1: IBRAHIM 2: SULEIMAN 3: HAMAD 4: AL-HABLAIN  
**Title:** na **Designation:** na **DOB:** 17 Dec. 1984 **POB:** Buraidah, Saudi Arabia **Good quality**  
**a.k.a.:** Barahim Suliman H. al Hblain **Low quality a.k.a.:** a) Abu Jabal b) Abu-Jabal  
**Nationality:** Saudi Arabia **Passport no:** Saudi Arabia number F800691 **National identification**  
**no:** ~~na~~ 1047503170 **Address:** na **Listed on:** 23 Sep. 2014 (amended on 6 Dec. 2019, 10  
Sep.2020) **Other information:** Explosives expert and operative for the Abdallah Azzam Brigades  
(AAB) (QDe.144). Wanted by the Saudi Arabian Government for terrorism. Physical description:  
eye colour: dark; hair colour: dark; complexion: olive. Speaks Arabic. Photo available for  
inclusion in the INTERPOL-UN Security Council Special Notice. Review pursuant to Security  
Council resolution 2368 (2017) was concluded on 4 Dec. 2019. INTERPOL-UN Security Council  
Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>.

**QDi.370 Name:** 1: TARAD 2: MOHAMMAD 3: ~~ALJABRA~~ ALNORI ALFARES 4: na  
ALJARBA  
**Title:** na **Designation:** na **DOB:** 20 Nov. 1979 **POB:** Iraq **Good quality a.k.a.:** Tarad Aljarba  
**Low quality a.k.a.:** Abu-Muhammad al-Shimali **Nationality:** Saudi Arabia **Passport**  
**no:** E704088, issued on 26 Aug. 2003 (expired on 2 Jul. 2008) **National identification no:** ~~na~~  
1121628414 **Address:** na **Listed on:** 29 Sep. 2015 (amended on 6 Dec. 2019, 10 Sep. 2020)  
**Other information:** Border emir of Islamic State in Iraq and the Levant (ISIL) listed as AL-

Qaida in Iraq (QDe.115) as of Apr. 2015, and ISIL's leader for operations outside of the Syrian Arab Republic and Iraq as of mid-2014. Facilitated the travel from Turkey to the Syrian Arab Republic of prospective ISIL fighters from Australia, Europe, and the Middle East. Managed ISIL's guesthouse in Azaz, Syrian Arabic Republic as of 2014. Review pursuant to Security Council resolution 2368 (2017) was concluded on 4 Dec. 2019 INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>.

## **B. Entities and other groups**

**QDe.015 Name: Wafa HUMANITARIAN ORGANIZATION**

**A.k.a.: a) Al Wafa b) Al Wafa Organization c) Wafa Al-Igatha Al-**

**Islamia F.k.a.: na Address: a) Jordan House No. 125, Street 54, Phase II Hayatabad, Peshawar, Pakistan (at time of listing) b) Saudi Arabia (at time of listing) b c) Kuwait (at time of listing) c**

**d) United Arab Emirates (at time of listing) d e) Afghanistan (at time of listing) Listed on: 6 Oct. 2001 ( amended on 21 Mar. 2012, 6 Dec. 2019, 10 Sep. 2020) Other information: Headquarters was in Kandahar, Afghanistan as at 2001. Wafa was a component of Al-Qaida (QDe.004) in 2001. Review pursuant to Security Council resolution 1822 (2008) was concluded on 21 Jun. 2010.**

**Review pursuant to Security Council resolution 2368 (2017) was concluded on 4 Dec. 2019.**

**INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Entities>.**

The ISIL (Da'esh) and Al-Qaida Sanctions List is updated regularly on the basis of relevant information provided by Member States and international and regional organizations. An updated List is accessible on the ISIL (Da'esh) and Al-Qaida Sanctions Committee's website at the following URL: [www.un.org/securitycouncil/sanctions/1267/aq\\_sanctions\\_list](http://www.un.org/securitycouncil/sanctions/1267/aq_sanctions_list).

The Consolidated United Nations Security Council List is also updated following all changes made to the ISIL (Da'esh) and Al-Qaida Sanctions List. An updated version of the Consolidated List is accessible via the following URL: [www.un.org/securitycouncil/content/un-sc-consolidated-list](http://www.un.org/securitycouncil/content/un-sc-consolidated-list).



**For information media. Not an official record.**

