

# 中華民國證券投資信託暨顧問商業同業公會 函

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電話：(02)2581-7288#205  
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(郵遞區號)

(地址)

受文者：

發文日期：中華民國111年6月10日

發文字號：中信顧字第1110051697號

速別：普通件

密等及解密條件或保密期限：

附件：如文

主旨：函轉金融監督管理委員會檢送有關法務部調查局111年5月30日調錢貳字第11135528900號函知「聯合國安全理事會伊斯蘭國與基地組織制裁委員會（ISIL and AL-Qaida Sanctions Committee）制裁名單更新訊息」一案，請依說明二辦理，請查照。

說明：

- 一、依金融監督管理委員會111年6月7日金管證券字第1110346039號函辦理。
- 二、有關旨揭制裁名單更新訊息，請各會員公司依下列說明辦理：
  - (一)經我國依資恐防制法第5條第1項公告指定之制裁名單，須依資恐制法第7條第1項規定辦理。
  - (二)因業務關係知悉其本身持有或管理經指定制裁之個人、法人或團體之財物或財產上利益，或經指定制裁之個人、法人或團體之財物或財產上利益之所在地者，請依資恐防制法第7條3項規定，應即通報法務部調查局。
  - (三)洗錢防制法第5條第1項至第3項所定之金融機構及指定之非金融事業或人員，因業務關係知悉相關交易，亦應依洗錢防制法第10條之規定向法務部調查局申報。

正本：本公會各投信會員公司、本公會各投顧會員公司  
副本：

理事長 張錫

裝

訂

線

檔 號：

保存年限：

## 金融監督管理委員會 函

機關地址：22041新北市板橋區縣民大道2  
段7號18樓

承辦人：鄭先生  
電話：02-27747266

受文者：中華民國證券投資信託暨顧問商業同業公會（代表人  
張錫先生）

發文日期：中華民國111年6月7日

發文字號：金管證券字第1110346039號

速別：普通件

密等及解密條件或保密期限：

附件：如文(附件1 111UJ03683\_1\_07181510974.pdf)

主旨：有關法務部調查局函知「聯合國安全理事會伊斯蘭國與  
基地組織制裁委員會（ISIL and AL-Qaida Sanctions  
Committee）制裁名單更新訊息」一案，請依說明三辦  
理，請查照。

說明：

- 一、依據法務部調查局111年5月30日調錢貳字第11135528900  
號函副本辦理。
- 二、依法務部調查局陳報法務部並副知相關部會，內容略  
以：聯合國安全理事會伊斯蘭國與基地組織制裁委員會  
依循資恐相關第1267/1989/2253號決議案，於111年5月27  
日公布制裁名單更新通告，修正制裁對象TAHA  
IBRAHIM ABDALLAH BAKR AL KHUWAYT（永久參考  
號：QDi.420）、Amir Muhammad Sa'id Abdal-Rahman al-  
Salbi（永久參考號：QDi.426）、ARIS SUMARSONO  
（永久參考號：QDi.187）、MOCHAMMAD ACHWAN  
（永久參考號：QDi.304）、MOUNIR BEN DHAOU  
BEN BRAHIM BEN HELAL（永久參考號：QDi.386）及



中華民國證券投資信託暨顧問商業同業公會（代表



MUHAMMAD SHOLEH IBRAHIM (永久參考號：  
QDi.395) 之識別資料及相關訊息。

三、旨揭制裁名單更新訊息，已由法務部調查局陳報法務部。本案請轉知所屬會員依下列說明辦理，併請副本收文者配合辦理：

- (一)經我國依資恐防制法第5條第1項公告指定之制裁名單，須依資恐防制法第7條第1項規定辦理。
- (二)因業務關係知悉其本身持有或管理經指定制裁之個人、法人或團體之財物或財產上利益，或經指定制裁之個人、法人或團體之財物或財產上利益之所在地者，請依資恐防制法第7條第3項規定，應即通報法務部調查局。
- (三)洗錢防制法第5條第1項至第3項所定之金融機構及指定之非金融事業或人員，因業務關係知悉相關交易，亦應依洗錢防制法第10條之規定向法務部調查局申報。

四、檢附法務部調查局111年5月30日調錢貳字第11135528900號函影本暨附件各一份。

正本：中華民國證券商業同業公會（代表人賀鳴珩先生）、中華民國期貨業商業同業公會（代表人糜以雍先生）、中華民國證券投資信託暨顧問商業同業公會（代表人張錫先生）、中華民國會計師公會全國聯合會（代表人黃奕睿先生）  
副本：臺灣證券交易所股份有限公司（代表人許璋瑤先生）、財團法人中華民國證券櫃檯買賣中心（代表人陳永誠先生）、臺灣期貨交易所股份有限公司（代表人吳自心先生）、臺灣集中保管結算所股份有限公司（代表人林修銘先生）、元大證券金融股份有限公司（代表人龔紹興先生）（均含附件）

111/06/08  
08:01:54

檔 號：  
保存年限：

## 法務部調查局 函

地址：231209新北市新店區中華路74號  
承辦人：葉調查官  
電話：02-29112241#6225  
傳真：02-29131280

受文者：金融監督管理委員會

發文日期：中華民國111年5月30日

發文字號：調錢貳字第11135528900號

速別：普通件

密等及解密條件或保密期限：

附件：如文(A11010000F\_11135528900AOC\_ATTCH1.pdf、A11010000F\_11135528900AOC\_A  
TTCH2.pdf、A11010000F\_11135528900AOC\_ATTCH3.pdf)

110139314

主旨：陳報聯合國安全理事會伊斯蘭國與基地組織制裁委員會（  
ISIL and Al-Qaida Sanctions Committee）制裁名單更  
新訊息，請鑒核。

說明：

- 一、依據資恐防制法第5條第1項規定辦理。
- 二、聯合國安全理事會伊斯蘭國與基地組織制裁委員會依循資恐相關第1267/1989/2253號決議案，於111年5月27日公布制裁名單更新通告，修正制裁對象TAHA IBRAHIM ABDALLA H BAKR AL KHUWAYT（永久參考號：QDi.420）、Amir Muhammad Sa' id Abdal-Rahman al-Salbi（永久參考號：QDi.426）、ARIS SUMARSONO（永久參考號：QDi.187）、MO CHAMMAD ACHWAN（永久參考號：QDi.304）、MOUNIR BEN DHAOU BEN BRAHIM BEN HELAL（永久參考號：QDi.386）及MUHAMMAD SHOLEH IBRAHIM（永久參考號：QDi.395）之識別資料及相關訊息（詳如附件一、二）。
- 三、檢陳：  
（一）附件一：新聞稿SC14913。

金管會證期局 總收文



第1頁，共2頁

第3頁，共10頁

111UJ03683\_1\_07181510974.pdf

銀行局

證期局

保險局

檢查局

線

(二)附件二：新聞稿SC14914。

(三)附件三：制裁名單。

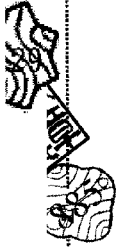
正本：法務部

副本：司法院民事廳(含附件)、金融監督管理委員會(含附件)、中央銀行(含附件)、內政部地政司(含附件)、經濟部商業司(含附件)、財政部賦稅署(含附件)、行政院農業委員會農業金融局(含附件)、中華郵政股份有限公司(含附件)

2022/05/30  
交 12:08:42 章

局長 王俊力

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United Nations

## SECURITY COUNCIL PRESS RELEASE

SC/14913  
27 MAY 2022

### Security Council ISIL (Da'esh) and Al-Qaida Sanctions Committee Amends Two Entries to Its Sanctions List

On 27 May 2022, the Security Council Committee pursuant to resolutions 1267 (1999), 1989 (2011) and 2253 (2015) concerning ISIL (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities enacted the amendments specified with underline and strikethrough in the entries below on its ISIL (Da'esh) and Al-Qaida Sanctions List of individuals and entities subject to the assets freeze, travel ban and arms embargo set out in paragraph 1 of Security Council resolution 2610 (2021), and adopted under Chapter VII of the Charter of the United Nations.

#### A. Individuals

**QDi.420 Name:** 1: HAJJI TAHA 2: ~~'ABD-AL-NASIR~~ IBRAHIM 3: ~~na~~ ABDALLAH BAKR 4: ~~na~~ AL KHUWAYT


**Name (original script):** طه ابراهيم عبد الله بكر ال خويط **Title:** ~~na~~ **Designation:** ~~na~~ **DOB:** Between 1965 and 1969 **POB:** Tall 'Afar, Iraq **Good quality a.k.a.:** a) Hajji Abdelnasser b) Hajji Abd al-Nasr c) Hajji 'Abd Al-Nasir (formerly listed as) **Low quality a.k.a.:** a) Taha al-Khuwayt b) Mullah Taha c) Mullah Khuwayt **Nationality:** Iraq **Passport no:** ~~na~~ **National identification no:** ~~na~~ **Address:** ~~Syrian Arab Republic~~ **Prison in Iraq** **Listed on:** 19 Nov. 2018 (amended on 27 May 2022) **Other information:** Former ISIL governor of al-Jazira Province, military leader in the Syrian Arab Republic as well as member and chair of the ISIL Delegated Committee, which exercises administrative control of ISIL's affairs. In custody of Iraq since 2019. Photo available for inclusion in the INTERPOL-UN Security Council Special Notice. INTERPOL-UN Security Council Special Notice web link: [www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals](http://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals)

**QDi.426 Name:** 1: Amir 2: Muhammad Sa'id 3: Abdal-Rahman 4: ~~al-Mawla~~ al-Salbi **Name (original script):** أمير محمد سعيد عبد الرحمن المولى السلبي **Title:** ~~na~~ **Designation:** ~~na~~ **DOB:** a) 5 Oct. 1976 b) 1 Oct. 1976 c) 6 Jan. 1976 **POB:** a) Tall 'Afar, Iraq b) Mosul, Iraq **Good quality a.k.a.:** a) Abu Ibrahim al-Hashimi al-Qurashi b) Hajji Abdallah c) Abu 'Umar al-Turkmani d) Abdullah Qardash e) Abu 'Abdullah Qardash f) al-Hajj Abdullah Qardash g) Hajji Abdullah Al-Afari h) 'Abdul Amir Muhammad Sa'id Salbi i) Muhammad Sa'id 'Abd-al-Rahman al-Mawla j)

Amir Muhammad Sa'id 'Abd-al-Rahman Muhammad al-Mula **k) Amir Muhammad Sa'id Abdal-Rahman al-Mawla (previously listed as) Low quality a.k.a.: a) Al-Ustadh b) Ustadh Ahmad Nationality: Iraq Passport no: na National identification no: na 00278640, issued on 2 May 2012 Address: na a) House 110, Street 704, District 704, Tall 'Afar, Iraq (previous address) b) near Shahid Mazen Mosque and al-Khansa Hospital, Mosul, Iraq (previous address) c) Idlib, Syrian Arab Republic Listed on: 21 May 2020 (amended on 27 May 2022) Other information: Leader of Islamic State in Iraq and the Levant, listed as Al-Qaida in Iraq (QDe.115). Mother's name: Samira Shareef (سميرة شريف) or Sahra Sharif Abd al-Qader (سهره شريف عبد القادر). Height 170 cm, right leg amputated. Photo available for inclusion in the INTERPOL-UN Security Council Special Notice. Arrest warrant issued by Iraq 2018. Reportedly deceased as of 3 February 2022. INTERPOL-UN Security Council Special Notice web link: [www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals](http://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals)**

The ISIL (Da'esh) and Al-Qaida Sanctions List is updated regularly on the basis of relevant information provided by Member States and international and regional organizations. An updated List is accessible on the ISIL (Da'esh) and Al-Qaida Sanctions Committee's website at the following URL: [www.un.org/securitycouncil/sanctions/1267/qa\\_sanctions\\_list](http://www.un.org/securitycouncil/sanctions/1267/qa_sanctions_list).

The United Nations Security Council Consolidated List is also updated following all changes made to the ISIL (Da'esh) and Al-Qaida Sanctions List. An updated version of the Consolidated List is accessible via the following URL: [www.un.org/securitycouncil/content/un-sc-consolidated-list](http://www.un.org/securitycouncil/content/un-sc-consolidated-list).

 For information media. Not an official record.





United Nations

## SECURITY COUNCIL PRESS RELEASE

SC/14914  
27 MAY 2022



### Security Council ISIL (Da'esh) and Al-Qaida Sanctions Committee Amends Four Entries on Its Sanctions List

On 27 May, the Security Council Committee pursuant to resolutions 1267 (1999), 1989 (2011) and 2253 (2015) concerning ISIL (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities enacted the amendments specified with underline and strikethrough in the entries below on its ISIL (Da'esh) and Al-Qaida Sanctions List of individuals and entities subject to the assets freeze, travel ban and arms embargo set out in paragraph 1 of Security Council resolution 2368 (2017), and adopted under Chapter VII of the Charter of the United Nations.

#### A. Individuals

**QDi.187 Name:** 1: ARIS 2: SUMARSONO 3: na 4: na

**Title:** na **Designation:** na **DOB:** 19 April 1963 **POB:** Gebang village, Masaran, Sragen, Central Java, Indonesia **Good quality a.k.a.:** a) Zulkarnan b) Zulkarnain c) Zulkarnin d) Arif Sunarso e) Zulkarnaen f) Aris Sunarso g) Ustad Daud Zulkarnaen **Low quality a.k.a.:** a) Murshid b) Daud c) Pak Ud d) Mbah Zul e) Zainal Arifin f) Zul g) Abdullah Abdurrahman h) Abdul i) Abdurrahman **Nationality:** Indonesia **Passport no:** na **National identification no:** na **Address:** ~~na~~ a) Desa Gebang, Kecamatan Masaran, Kabupaten Sragen, Jawa Tengah, Indonesia b) Desa Taman Fajar, Kecamatan Probolinggo, Kabupaten Lampung Timur, Lampung, Indonesia **Listed on:** 16 May 2005 (amended on 17 Apr. 2019, 27 May 2022) **Other information:** Review pursuant to Security Council resolution 1822 (2008) was concluded on 8 Jun. 2010. Review pursuant to Security Council resolution 2253 (2015) was concluded on 7 June 2018. Review pursuant to Security Council resolution 2368 (2017) was concluded on 15 November 2021. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>.

**QDi.304 Name:** 1: MOCHAMMAD 2: ACHWAN 3: na 4: na

**Title:** na **Designation:** na **DOB:** a) 4 May 1948 b) 4 May 1946 **POB:** Tulungagung, Indonesia **Good quality a.k.a.:** a) Muhammad Achwan b) Muhammad Akhwan c) Mochtar Achwan d) Mochtar Akhwan e) Mochtar Akwan **Low quality a.k.a.:** na **Nationality:** Indonesia **Passport no:** na **National identification no:** a) Indonesia National Identity Card 3573010405480001

(under name Mochammad Achwan) **b) Indonesia National Identity Card 353010405480001**  
**Address:** Jalan Ir. H. Juanda 8/10, RT/RW 002/001, Jodipan, Blimbing, Malang, 65127,  
 Indonesia **Listed on:** 12 Mar. 2012 (amended on 27 May 2022) **Other information:** Acting emir  
 of Jemmah Anshorut Tauhid (JAT) (QDe.133). Associated with Abu Bakar Ba'asyir (QDi.217),  
 Abdul Rahim Ba'aysir (QDi.293) and Jemaah Islamiyah (QDe.092). Review pursuant to Security  
 Council resolution 2368 (2017) was concluded on 15 November 2021. INTERPOL-UN Security  
 Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>.

**QDi.386 Name:** 1: MOUNIR 2: BEN DHAOU 3: BEN BRAHIM 4: BEN HELAL  
**Title:** na **Designation:** na **DOB:** 10 May 1983 **POB:** Ben Guerdane, Tunisia **Good quality**  
**a.k.a.:** na **Low quality a.k.a.:** a) Mounir Helel b) Mounir Hilel c) Abu Rahmah d) Abu Maryam  
 al-Tunisi **Nationality:** Tunisia **Passport no:** na **National identification no:** na 08619445  
**Address:** na Amria Ben Guerdane, Medenine, Tunisia **Listed on:** 29 Feb. 2016 (amended on 27  
 May 2022) **Other information:** Foreign terrorist fighter facilitator experienced in establishing  
 and securing travel routes. Deeply involved in providing material support to the Organization of  
 Al-Qaida in the Islamic Maghreb (QDe.014) in North Africa. Assisted foreign terrorist fighters'  
 travel throughout North Africa and to Syrian Arab Republic to join Islamic State in Iraq and the  
 Levant, listed as Al-Qaida in Iraq (QDe.115). **Profession:** farm worker. **Mother's name:** Mbarka  
 Helali. Review pursuant to Security Council resolution 2368 (2017) was concluded on 15  
 November 2021. INTERPOL-UN Security Council Special Notice web link:  
<https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>.

**QDi.395 Name:** 1: MUHAMMAD 2: SHOLEH 3: IBRAHIM 4: na  
**Title:** Ustad **Designation:** na **DOB:** 1958 (Sep.) **POB:** Demak, Indonesia **Good quality a.k.a.:**  
 a) Mohammad Sholeh Ibrahim b) Muhammad Sholeh Ibrohim c) Muhammad Soleh Ibrahim d)  
 Sholeh Ibrahim e) Muh Sholeh Ibrahim **Low quality a.k.a.:** na **Nationality:** Indonesia **Passport**  
**no:** na **National identification no:** na a) Indonesia National Identity Card 3311092409580002 b)  
Indonesia National Identity Card 3311092409580003 **Address:** na a) Masjid Baitul Amin,  
Waringinrejo RT 01 RW 02, Grogol, Cemani, Sukoharjo, Jawa Tengah 57572, Indonesia b) Desa  
Cemani, Waringinrejo RT 001/021, Kecamatan Grogol, Kabupaten Sukoharjo, Jawa Tengah,  
Indonesia **Listed on:** 20 Apr. 2016 (amended on 27 Dec. 2021) **Other information:** Has served  
 as the acting emir of Jemmah Anshorut Tauhid (JAT) (QDe.133) since 2014 and has supported  
 Islamic State in Iraq and the Levant (ISIL), listed as Al-Qaida in Iraq (QDe.115). **Profession:**  
 Lecturer/Private Teacher. Review pursuant to Security Council resolution 2368 (2017) was  
 concluded on 15 November 2021. INTERPOL-UN Security Council Special Notice web link:  
<https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>.

The ISIL (Da'esh) and Al-Qaida Sanctions List is updated regularly on the basis of  
 relevant information provided by Member States and international and regional organizations. An  
 updated List is accessible on the ISIL (Da'esh) and Al-Qaida Sanctions Committee's website at  
 the following URL: [www.un.org/securitycouncil/sanctions/1267/aq\\_sanctions\\_list](http://www.un.org/securitycouncil/sanctions/1267/aq_sanctions_list).

The United Nations Security Council Consolidated List is also updated following all changes made to the ISIL (Da'esh) and Al-Qaida Sanctions List. An updated version of the Consolidated List is accessible via the following URL: [www.un.org/securitycouncil/content/un-sc-consolidated-list](http://www.un.org/securitycouncil/content/un-sc-consolidated-list).

 **For information media. Not an official record.**



